

# A discrete model of energy-conserved wave function collapse

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## Research



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Energy non-conservation is a serious problem of dynamical collapse theories. In this paper, we propose a discrete model of energy-conserved wave function collapse. It is shown that the model is consistent with existing experiments and our macroscopic experience.

## 1. Introduction

In standard quantum mechanics, it is postulated that when the wave function of a quantum system is measured by a macroscopic device, it no longer follows the linear Schrödinger equation, but instantaneously and randomly collapses to one of the wave functions that correspond to definite measurement results. However, this collapse postulate is ad hoc, and the theory does not tell us why and how a definite measurement result emerges [1]. A promising solution to this measurement problem is dynamical collapse theories, in which the collapse evolution is dynamical and integrated with the normal Schrödinger evolution into a unified dynamics [2]. However, the existing dynamical collapse models are plagued by the serious problem of energy non-conservation [3–5].<sup>1</sup> For instance, in the continuous spontaneous localization (CSL) model, the collapse owing to an external noise field narrows the wave function in position space, thereby producing an increase in energy. Although it is expected that the conservation laws may be satisfied when the contributions of the noise field to the conserved quantities are taken into account [7,8], a complete solution has not yet been found and it is still unknown whether such a solution indeed exists.

<sup>1</sup>It is worth noting that there might also exist a possibility that the principle of conservation of energy is not universal and indeed violated by wave function collapse. One hint is that the usual proof that space-time translation invariance leads to the conservation of energy and momentum relies on the linearity of quantum dynamics, and it does not apply to nonlinear quantum dynamics such as wave function collapse [6, ch. 3]. We will not consider this possibility in this paper.

In this paper, we will propose a discrete model of energy-conserved wave function collapse. It has been demonstrated that the energy-driven collapse models that conserve energy cannot explain the emergence of definite measurement results [7]. However, this important result does not imply that all energy-conserved collapse models are inconsistent with experiments. A detailed analysis of this paper will show that the suggested energy-conserved collapse model can be consistent with existing experiments and our macroscopic experience. The key is to assume that the energy uncertainty driving the collapse of the entangled state of a many-body system is not the uncertainty of the total energy of all sub-systems as the energy-driven collapse models assume, but the sum of the absolute energy uncertainty of every sub-system.

## 2. A discrete model of energy-conserved wave function collapse

Consider a multi-level system with a constant Hamiltonian. Its initial state is

$$|\psi(0)\rangle = \sum_{i=1}^m c_i(0)|E_i\rangle, \quad (2.1)$$

where  $|E_i\rangle$  is the energy eigenstate of the Hamiltonian of the system,  $E_i$  is the corresponding energy eigenvalue and  $c_i(0)$  satisfies the normalization relation  $\sum_{i=1}^m |c_i(0)|^2 = 1$ .

It is assumed that this superposition of energy eigenstates collapses to one of the eigenstates after a dynamical process, and the collapse evolution satisfies the conservation of energy at the ensemble level.<sup>2</sup> Moreover, this collapse process is composed of discrete tiny collapses.<sup>3</sup> The properties of the tiny collapses are assumed as follows. At each discrete instant  $t = nt_P$  (where  $t_P$  is the discrete unit of time), the probability of the tiny collapse happening in each energy branch  $|E_i\rangle$  is equal to  $P_i(t) \equiv |c_i(t)|^2$ , and this collapse slightly increases the probability of the energy branch and decreases the probabilities of all other branches pro rata.<sup>4</sup> Then during a time interval much larger than  $t_P$ , the probability of each energy branch will undergo a discrete and stochastic evolution. In the end, as we will show below, the probability of one branch will be close to one, and the probabilities of other branches will be close to zero. In other words, the initial superposition will randomly collapse to one of the energy branches in the superposition. Because it has been widely conjectured that the Planck scale is the minimum space–time scale (see, e.g., Garay [11] for a review), we will assume that the size of each discrete instant,  $t_P$ , is the Planck time in our following analysis.

Now, we will give a concrete analysis of this dynamical collapse process. Because the linear Schrödinger evolution does not change energy probability distribution, we may only consider the influence of dynamical collapse on the distribution. Suppose at a discrete instant  $t = nt_P$ , the tiny collapse randomly happens in an energy branch  $|E_i\rangle$ , and the probability of the branch,  $P_i(t)$ , changes to

$$P_i^i(t + t_P) = P_i(t) + \Delta P_i, \quad (2.2)$$

where the superscript  $i$  denotes this tiny collapse event, and  $\Delta P_i$  is a functional of  $P_i(t)$ . Owing to the conservation of probability, the probability of another branch  $P_j(t)$  ( $j \neq i$ ) correspondingly

<sup>2</sup>It can be proved that only when the preferred bases (i.e. the states towards which the collapse tends) are energy eigenstates of the total Hamiltonian for each identical system in an ensemble can energy be conserved at the ensemble level for wave function collapse (see Pearle [3] for a more detailed analysis). Note that for the linear Schrödinger evolution under an external potential, energy is conserved but momentum is not conserved even at the ensemble level, and thus it is not conservation of momentum but conservation of energy that is a more universal restriction for wave function collapse. Besides, as we will show later, the consistency with existing experiments and our macroscopic experience only requires that a superposition of energy eigenstates collapses to one of them when they are sufficiently separated in space.

<sup>3</sup>According to Gao [6,9], the wave function can be regarded as a representation of the state of random discontinuous motion of particles, and the tiny collapses of the wave function may originate from the random motion of particles.

<sup>4</sup>It has been recently argued that the instability of the Schrödinger evolution in the presence of a tiny perturbation of the external potential may result in the collapse of the wave function in some cases [10]. It will be interesting to see whether there exists a possible connection between these tiny collapses and the perturbation.

turns to be<sup>5</sup>

$$P_j^i(t + t_P) = P_j(t) - \frac{P_j(t)\Delta P_i}{1 - P_i(t)}, \quad (2.3)$$

where the superscript  $i$  still denotes the random event. The probability of this tiny collapse happening in the energy branch  $|E_i\rangle$  at the instant is  $p(E_i, t) = P_i(t)$ . Then, we can work out the diagonal density matrix elements of the evolution:<sup>6</sup>

$$\begin{aligned} \rho_{ii}(t + t_P) &= \sum_{j=1}^m p(E_j, t) P_i^j(t + t_P) \\ &= P_i(t)[P_i(t) + \Delta P_i] + \sum_{j \neq i} P_j(t) \left[ P_i(t) - \frac{P_i(t)\Delta P_j(t)}{1 - P_j(t)} \right] \\ &= \rho_{ii}(t) + P_i(t) \left[ \Delta P_i - \sum_{j \neq i} P_j(t) \frac{\Delta P_j(t)}{1 - P_j(t)} \right]. \end{aligned} \quad (2.4)$$

Here, we shall introduce the first rule of dynamical collapse in our model, which says that the probability distribution of energy eigenvalues for an ensemble of identical systems is constant during the dynamical collapse process. It can be seen that this rule is entailed by the principle of conservation of energy at the ensemble level. By this rule, we have  $\rho_{ii}(t + t_P) = \rho_{ii}(t)$  for any  $i$ . This leads to the following set of equations:

$$\left. \begin{aligned} \Delta P_1(t) - \sum_{j \neq 1} \frac{P_j(t)\Delta P_j(t)}{1 - P_j(t)} &= 0, \\ \Delta P_2(t) - \sum_{j \neq 2} \frac{P_j(t)\Delta P_j(t)}{1 - P_j(t)} &= 0 \\ &\dots \\ \Delta P_m(t) - \sum_{j \neq m} \frac{P_j(t)\Delta P_j(t)}{1 - P_j(t)} &= 0. \end{aligned} \right\} \quad (2.5)$$

and

By solving this equations set (e.g. by subtracting each other), we find the following relation for any  $i$ :

$$\frac{\Delta P_i}{1 - P_i(t)} = k, \quad (2.6)$$

where  $k$  is an undetermined dimensionless quantity that relates to the state  $|\psi(t)\rangle$ .

Using equation (2.6), we can further work out the non-diagonal density matrix elements of the evolution. But, it is more convenient to calculate the following variant of non-diagonal density matrix elements:

$$\begin{aligned} \rho_{ij}(t + t_P) &= \sum_{l=1}^m p(E_l, t) P_i^l(t + t_P) P_j^l(t + t_P) \\ &= \sum_{l \neq i, j} P_l(t) [P_i(t) - kP_i(t)] [P_j(t) - kP_j(t)] \\ &\quad + P_i(t) [P_i(t) + k(1 - P_i(t))] [P_j(t) - kP_j(t)] \\ &\quad + P_j(t) [P_j(t) + k(1 - P_j(t))] [P_i(t) - kP_i(t)] \\ &= (1 - k^2) \rho_{ij}(t). \end{aligned} \quad (2.7)$$

<sup>5</sup>One can also obtain this result by first increasing the probability of one branch and then normalizing the probabilities of all branches. This means that  $P_i(t + t_P) = (P_i(t) + \Delta)/(1 + \Delta)$  and  $P_j(t + t_P) = P_j(t)/(1 + \Delta)$  for any  $j \neq i$ . In this way, we have  $\Delta P_i = (\Delta/(1 + \Delta))(1 - P_i(t))$  and  $\Delta P_j = -(\Delta/(1 + \Delta))P_j(t)$  for any  $j \neq i$ .

<sup>6</sup>The density matrix describes the ensemble of states which arise from all possible random events.

Because the usual collapse time,  $\tau_c$ , is defined by the relation  $\rho_{ij}(\tau_c) = \frac{1}{2}\rho_{ij}(0)$ , we may use a proper approximation, where  $k$  is assumed to be the same as its initial value during the time interval  $[0, \tau_c]$ , to simplify the calculation of the collapse time. Then we have

$$\rho_{ij}(t) \approx (1 - k^2)^n \rho_{ij}(0). \quad (2.8)$$

The corresponding collapse time is in the order of

$$\tau_c \approx \frac{1}{k^2} t_P, \quad (2.9)$$

In the following, we shall analyse the formula of  $k$  defined by equation (2.6). To begin with, the probability restricting condition  $0 \leq P_i(t) \leq 1$  for any  $i$  requires that  $0 \leq k \leq 1$ . When  $k = 0$ , no collapse happens, and when  $k = 1$ , collapse happens instantaneously. Note that  $k$  cannot be smaller than zero, as this will lead to the negative value of  $P_i(t)$  in some cases. For instance, when  $k$  is negative and  $P_i(t) < |k|/(1 + |k|)$ ,  $P_i(t + t_P) = P_i(t) + k[1 - P_i(t)]$  will be negative and violate the probability restricting condition. That  $k$  is positive indicates that at each discrete instant only the probability of one branch increases and the probabilities of all other branches decrease, which is consistent with our previous assumption.

Next, it can be arguably assumed that  $k$  is proportional to the duration of a discrete instant, namely  $k \propto t_P$ . According to Gao [6,9], the discreteness of time may be a possible cause of the energy-conserved wave function collapse; when the duration of an instant is zero, no collapse happens, whereas when the duration of an instant is not zero, collapse happens. Third,  $k$  is also proportional to the energy uncertainty of the superposition of energy eigenstates. When the energy uncertainty is zero, i.e. when the state is an energy eigenstate, no collapse happens. When the energy uncertainty is not zero, collapse happens. How to define the energy uncertainty? Because  $k$  is invariant under the swap of any two branches  $(P_i, E_i)$  and  $(P_j, E_j)$  according to equation (2.6), the most natural definition of the energy uncertainty of a superposition of energy eigenstates is<sup>7</sup>

$$\Delta E = \frac{1}{2} \sum_{i,j=1}^m P_i P_j |E_i - E_j|. \quad (2.10)$$

For the simplest two-level system, we have

$$\Delta E = P_1 P_2 |E_1 - E_2|. \quad (2.11)$$

Then after omitting a coefficient in the order of unity, we can get the formula of  $k$  in the first order:

$$k \approx \Delta E t_P / \hbar. \quad (2.12)$$

This is the second rule of dynamical collapse in our model.<sup>8</sup> It is worth pointing out that  $k$  must contain the first order term of  $\Delta E$ , because the second order or higher order term of  $\Delta E$  will lead to much longer collapse time for some common measurement situations, which contradicts experiments [14].

By inputting equation (2.12) into equation (2.9), we can further get the collapse time formula:

$$\tau_c \approx \frac{\hbar E_P}{(\Delta E)^2}, \quad (2.13)$$

where  $E_P = \hbar/t_P$  is the Planck energy and  $\Delta E$  is the energy uncertainty of the initial state.

<sup>7</sup>Because the common mean square root (r.m.s.) uncertainty also satisfies the swap symmetry, it still needs to be studied what the exact form of  $k$  is.

<sup>8</sup>Note that only one universal constant, the Planck time, is needed to specify the suggested collapse model. By contrast, two parameters, which were originally introduced by Ghirardi *et al.* [12], are needed to specify the Ghirardi–Rimini–Weber (GRW) and CSL models. They are a distance scale,  $a \approx 10^5$  cm, characterizing the distance beyond which the collapse becomes effective, and a time scale,  $\lambda^{-1} \approx 10^{16}$  s, giving the rate of collapse for a microscopic system. However, it is also worth noting that fundamentally these two parameters can be written in terms of other physical constants, and the CSL theory depends essentially only on one parameter, the product  $\lambda a$  [13].

Based on the above analysis, the state of the multi-level system at instant  $t = nt_P$  will be

$$|\psi(t)\rangle = \sum_{i=1}^m c_i(t) e^{-iE_i t/\hbar} |E_i\rangle. \quad (2.14)$$

Besides the linear Schrödinger evolution, the collapse dynamics adds a discrete stochastic evolution for  $P_i(t) \equiv |c_i(t)|^2$ :

$$P_i(t + t_P) = P_i(t) + \frac{\Delta E}{E_P} [\delta_{E_s E_i} - P_i(t)], \quad (2.15)$$

where  $\Delta E$  is the energy uncertainty of the state at instant  $t$  defined by equation (2.10),  $E_s$  is a random variable representing the branch where the discrete tiny collapse happens, and its probability of assuming  $E_i$  at instant  $t$  is  $P_i(t)$ . When  $E_s = E_i$ ,  $\delta_{E_s E_i} = 1$ , and when  $E_s \neq E_i$ ,  $\delta_{E_s E_i} = 0$ .

This equation of dynamical collapse can be extended to the entangled states of a many-body system. The difference only lies in the definition of the energy uncertainty  $\Delta E$ . It is assumed that for a non-interacting or weakly interacting many-body system in an entangled state, for which the energy uncertainty of each sub-system can be properly defined,  $\Delta E$  is the sum of the absolute energy uncertainty of all sub-systems, namely

$$\Delta E = \frac{1}{2} \sum_{l=1}^n \sum_{i,j=1}^m P_i P_j |E_{li} - E_{lj}|, \quad (2.16)$$

where  $n$  is the total number of the entangled sub-systems,  $m$  is the total number of energy branches in the entangled state, and  $E_{li}$  is the energy of sub-system  $l$  in the  $i$ th energy branch of the state. Correspondingly, the final states of collapse are the product states of the energy eigenstates of the Hamiltonian of each sub-system.

Here, it should be stressed that  $\Delta E$  is not defined as the uncertainty of the total energy of all sub-systems as in the energy-driven collapse models [15–17]. For each sub-system has its own energy uncertainty that drives its collapse, and the total driving ‘force’ for the whole entangled state should be the sum of the driving ‘forces’ of all sub-systems, at least in the first order approximation. Although these two kinds of energy uncertainty are equal in numerical values in some cases (e.g. for a strongly interacting many-body system), there are also some cases where they are not equal. For example, for a superposition of degenerate energy eigenstates of a non-interacting many-body system, which may arise during a common measurement process, the uncertainty of the total energy of all sub-systems is exactly zero, but the absolute energy uncertainty of each sub-system and their sum may be not zero. As a result, the superposition of degenerate energy eigenstates of a many-body system may also collapse. As we will see later, this is an important feature of our model, which can avoid Pearle’s [7] serious objections to the energy-driven collapse models.

It can be seen that the equation of dynamical collapse, equation (2.15), has an interesting property, scale invariance. After one discrete instant  $t_P$ , the probability increase of the branch  $|E_i\rangle$  is  $\Delta P_i = (\Delta E/E_P)(1 - P_i)$ , and the probability decrease of the neighbouring branch  $|E_{i+1}\rangle$  is  $\Delta P_{i+1} = (\Delta E/E_P)P_{i+1}$ . Then the probability increase of these two branches is

$$\Delta(P_i + P_{i+1}) = \frac{\Delta E}{E_P} [1 - (P_i + P_{i+1})]. \quad (2.17)$$

Similarly, the equation  $\Delta P = (\Delta E/E_P)(1 - P)$  holds true for the total probability of arbitrarily many branches (one of which is the branch where the tiny collapse happens). This property of scale invariance may simplify the analysis in many cases. For instance, for a superposition of two wave packets with energy difference,  $\Delta E_{12}$ , much larger than the energy uncertainty of each wave packet,  $\Delta E_1 = \Delta E_2$ , we can calculate the collapse dynamics in two steps. First, we use equations (2.15) and (2.11) with  $|E_1 - E_2| = \Delta E_{12}$  to calculate the time of the superposition collapsing into one of the two wave packets. Here, we need not to consider the almost infinitely

many energy eigenstates constituting each wave packet and their probability distribution. Next, we use equation (2.15) with  $\Delta E = \Delta E_1$  to calculate the time of the wave packet collapsing into one of its energy eigenstates. In general, this collapse process is so slow that its effect can be neglected.

Finally, we want to stress another important point. In our model, the energy eigenvalues are assumed to be discrete for any quantum system. This result seems to contradict quantum mechanics, but when considering that our Universe has a finite size (i.e. a finite event horizon), the momentum and energy eigenvalues of any quantum system in the Universe may be indeed discrete. The reason is that all quantum systems in the Universe are limited by the finite horizon, and thus no free quantum systems exist in the strict sense. For example, the energy of a massless particle (e.g. photon) can only assume discrete values  $E_n = n^2(hc/4R_U)$ , and the minimum energy is  $E_1 = hc/4R_U \approx 10^{-33}$  eV, where  $R_U \approx 10^{25}$  m is the radius of the horizon of our Universe. Besides, for a free particle with mass  $m_0$ , its energy also assumes discrete values  $E_n = n^2(h^2/32m_0R_U^2)$ . For instance, the minimum energy is  $E_1 \approx 10^{-72}$  eV for free electrons, which is much smaller than the minimum energy of photons.<sup>9</sup>

It is interesting to see whether this tiny discreteness of energy makes the collapse dynamics more abrupt. Suppose the energy uncertainty of a quantum state is  $\Delta E \approx 1$  eV, and its energy ranges between the minimum energy  $E_1$  and 1 eV. Then, we can get the maximum energy level  $l_{\max} \approx \sqrt{1 \text{ eV}/10^{-33} \text{ eV}} \approx 10^{16}$ . The probability of most energy eigenstates in the superposition will be about  $P \approx 10^{-16}$ . During each discrete instant  $t_p$ , the probability increase of the energy branch with tiny collapse is  $\Delta P \approx (\Delta E/E_p)(1 - P) \approx 10^{-28}$ . This indicates that the probability change during each discrete instant is still very tiny. Only when the energy uncertainty is larger than  $10^{23}$  eV or  $10^{-5}E_p$  will the probability change during each discrete instant be sharp. Therefore, the collapse evolution is still very smooth for the quantum states with energy uncertainty much smaller than the Planck energy.

### 3. On the consistency of the model and experiments

In this section, we will analyse whether the discrete model of energy-conserved wave function collapse is consistent with existing experiments and our macroscopic experience. Note that Adler [18] has already given a detailed consistency analysis in the context of energy-driven collapse models, and as we will see below, some of his analysis also applies to our model.

#### (a) Maintenance of coherence

First of all, the model satisfies the constraint of predicting the maintenance of coherence when this is observed. Because the energy uncertainty of the state of a microscopic particle is very small in general, its collapse will be too slow to have any detectable effect in present experiments on these particles. For example, the energy uncertainty of a photon emitted from an atom is in the order of  $10^{-6}$  eV, and the corresponding collapse time is  $10^{25}$  s according to equation (2.13) of our collapse model, which is much longer than the age of the Universe,  $10^{17}$  s. This means that the final states of collapse (i.e. energy eigenstates) are never reached for a quantum system with small energy uncertainty even during a time interval as long as the age of the Universe. As another example, consider the SQUID experiment of Friedman *et al.* [19], where the coherent superpositions of macroscopic states consisting of oppositely circulating supercurrents are observed.<sup>10</sup> In the experiment, each circulating current corresponds to the collective motion of about  $10^9$  Cooper

<sup>9</sup>Whether this heuristic analysis is, approximately, valid depends on the application of the final theory of quantum gravity to our finite Universe. However, it is worth noting that the existence of discrete energy levels for a free quantum system limited in our Universe is also supported by the hypothetical holographic principle, which implies that the total information within a Universe with a finite event horizon is finite. If the energy of a quantum system is continuous, then the information contained in the system will be infinite.

<sup>10</sup>Note that the possibility of using the SQUID experiments to test the collapse theories has been discussed in great detail by Rae [20] and Buffa *et al.* [21].



pairs, and the energy uncertainty is about  $8.6 \times 10^{-6}$  eV. Equation (2.13) predicts a collapse time of  $10^{23}$  s, and thus maintenance of coherence is expected despite the macroscopic structure of the state.<sup>11</sup>

## (b) Rapid localization in measurement situations

In the following, we will show that the discrete model of energy-conserved wave function collapse can account for the emergence of definite measurement results.

Consider a typical measurement process in quantum mechanics. According to the standard von Neumann procedure, measuring an observable  $A$  in a quantum state  $|\psi\rangle$  involves an interaction Hamiltonian

$$H_I = g(t)PA \quad (3.1)$$

coupling the measured system to an appropriate measuring device, where  $P$  is the conjugate momentum of the pointer variable. The time-dependent coupling strength  $g(t)$  is a smooth function normalized to  $\int dtg(t) = 1$  during the interaction interval  $\tau$ , and  $g(0) = g(\tau) = 0$ . The initial state of the pointer is supposed to be a Gaussian wave packet of width  $w_0$  centred at initial position 0, denoted by  $|\phi(0)\rangle$ .

For a standard (impulsive) measurement, the interaction  $H_I$  is of very short duration and so strong that it dominates the rest of the Hamiltonian (i.e. the effect of the free Hamiltonians of the measuring device, and the measured system can be neglected). Then the state of the combined system at the end of the interaction can be written as

$$|t = \tau\rangle = e^{-(i/\hbar)PA}|\psi\rangle|\phi(0)\rangle. \quad (3.2)$$

By expanding  $|\psi\rangle$  in the eigenstates of  $A$ ,  $|a_i\rangle$ , we obtain

$$|t = \tau\rangle = \sum_i e^{-(i/\hbar)Pa_i}c_i|a_i\rangle|\phi(0)\rangle, \quad (3.3)$$

where  $c_i$  are the expansion coefficients. The exponential term shifts the centre of the pointer by  $a_i$ :

$$|t = \tau\rangle = \sum_i c_i|a_i\rangle|\phi(a_i)\rangle. \quad (3.4)$$

This is an entangled state, where the eigenstates of  $A$  with eigenvalues  $a_i$  get correlated to macroscopically distinguishable states of the measuring device in which the pointer is shifted by these values  $a_i$  (but the width of the pointer wave packet is not changed). According to the collapse postulate, this state will instantaneously and randomly collapse into one of its branches  $|a_i\rangle|\phi(a_i)\rangle$ . Correspondingly, the measurement will obtain a definite result,  $a_i$ , which is one of the eigenvalues of the measured observable.

Let's see whether the energy-conserved collapse model can explain the emergence of the definite measurement results. At first sight, the answer seems negative. As stressed by Pearle [7], each outcome state of the measuring device in the above entangled superposition has precisely the same energy spectrum for an ideal measurement.<sup>12</sup> Then it appears that the superposition will not collapse according to the energy-conserved collapse model.<sup>13</sup> However, this is not the case. The key is to realize that different eigenstates of the measured observable are generally

<sup>11</sup>A more interesting example is provided by certain long-lived nuclear isomers, which have large energy gaps from their ground states (see [18] and references therein). For example, the metastable isomer of  $^{180}\text{Ta}$ , the only nuclear isomer to exist naturally on Earth, has a half-life of more than  $10^{15}$  years and an energy gap of 75 keV from the ground state. According to equation (2.13), a coherent superposition of the ground state and metastable isomer of  $^{180}\text{Ta}$  will spontaneously collapse to either the isomeric state or the ground state, with a collapse time of order 20 min. It will be a promising way to test our collapse model by examining the maintenance of coherence of such a superposition.

<sup>12</sup>According to Pearle [7], when considering environmental influences, each device/environment state in the superposition also has precisely the same energy spectrum.

<sup>13</sup>As noted before, the collapse owing to the tiny energy uncertainty of the measured state can be neglected.



measured in different parts of the measuring device, and they interact with different groups of atoms or molecules in these parts.<sup>14</sup> Therefore, we should rewrite the device states explicitly as  $|\phi(0)\rangle = \prod_j |\varphi_j(0)\rangle$  and  $|\phi(a_i)\rangle = |\varphi_i(1)\rangle \prod_{j \neq i} |\varphi_j(0)\rangle$ , where  $|\varphi_j(0)\rangle$  denotes the initial state of the device in part  $j$ , and  $|\varphi_i(1)\rangle$  denotes the outcome state of the device in part  $i$ . Then we have

$$\sum_i c_i |a_i\rangle |\phi(a_i)\rangle = \sum_i c_i |a_i\rangle |\varphi_i(1)\rangle \prod_{j \neq i} |\varphi_j(0)\rangle. \quad (3.5)$$

Because there is always some kind of measurement amplification from the microscopic state to the macroscopic outcome in the measurement process, there is a large energy difference between the states  $|\varphi_i(1)\rangle$  and  $|\varphi_i(0)\rangle$  for any  $i$ .<sup>15</sup> As a result, the total energy uncertainty, which is approximately equal to the energy difference according to equation (2.16), is also very large, and it will result in a rapid collapse of the above superposition into one of its branches according to the energy-conserved collapse model.<sup>16</sup>

Let's give a more realistic example, a photon being detected via photoelectric effect. In the beginning of the detection, the spreading spatial wave function of the photon is entangled with the states of a large number of surface atoms of the detector. In each local branch of the entangled state, the total energy of the photon is wholly absorbed by the electron in the local atom interacting with the photon.<sup>17</sup> This is clearly indicated by the term  $\delta(E_f - E_i - \hbar\omega)$  in the transition rate of photoelectric effect. The state of the ejecting electron is a (spherical) wave packet moving outward from the local atom, whose average direction and momentum distribution are determined by the momentum and polarization of the photon.

This microscopic effect of ejecting electron is then amplified (e.g. by an avalanche process of atoms) to form a macroscopic signal such as the shift of the pointer of a measuring device. During the amplification process, the energy difference is constantly increasing between the branch in which the photon is absorbed and the branch in which the photon is not absorbed near each atom interacting with the photon. This large energy difference will soon lead to the collapse of the whole superposition into one of the local branches, and thus the photon is only detected locally. Take the single photon detector—avalanche photodiode as a concrete example. Its energy consumption is sharply peaked in a very short measuring interval. One type of avalanche photodiode operates at  $10^5$  cps and has a mean power dissipation of 4 mW [14]. This corresponds to an energy consumption of about  $2.5 \times 10^{11}$  eV per measuring interval  $10^{-5}$  s. By using the collapse time formula equation (2.13), where the energy uncertainty is  $\Delta E \approx 2.5 \times 10^{11}$  eV, we find the collapse time is  $\tau_c \approx 1.25 \times 10^{-10}$  s. This collapse time is much shorter than the measuring interval.

### (c) Emergence of the classical world

In this subsection, we will show that the discrete model of energy-conserved wave function collapse is also consistent with our macroscopic experience.

At first glance, it appears that there is an apparent inconsistency. According to the model, when there is a superposition of a macroscopic object in an identical physical state (an approximate energy eigenstate) at two different, widely separated locations, the superposition does not

<sup>14</sup>In the final analysis, different results are in general represented by different positions of the pointer of the measuring device, and thus they always appear in different spatial parts of the device.

<sup>15</sup>Because each outcome state of the measuring device has the same energy spectrum, the energy difference between the states  $|\varphi_i(1)\rangle$  and  $|\varphi_i(0)\rangle$  is the same for any  $i$ .

<sup>16</sup>Because the uncertainty of the total energy of the whole entangled system is still zero, the energy-driven collapse models [15,17] will predict that no wave function collapse happens and no definite measurement result emerges for the above measurement process [7].

<sup>17</sup>In more general measurement situations, the measured particle (e.g. an electron) is not annihilated by the detector. However, in each local branch of the entangled state of the whole system, the particle also interacts with a single atom of the detector by an ionizing process, and energy also conserves during the interaction. Owing to this important property, although the measured particle is detected locally in a detector (the size of the local region is in the order of the size of an atom), its wave function does not necessarily undergo position collapse as assumed by the GRW and CSL models, etc., and especially, energy can still be conserved during the localization process according to our model.

collapse, as there is no energy difference between the two branches of the superposition. But, the existence of such superpositions is obviously inconsistent with our macroscopic experience; macroscopic objects are localized. This common objection has been basically answered by Adler [18]. The crux of the matter lies in the influences of environment. The collisions and especially the accretions of environmental particles will quickly increase the energy uncertainty of the entangled state of the whole system including the object and environmental particles, and thus the initial superposition will soon collapse to one of the localized branches according to our model. Accordingly, the macroscopic objects can always be localized owing to environmental influences. It should be stressed again that the energy uncertainty here denotes the sum of the absolute energy uncertainty of each sub-system in the entangled state as defined in our model.<sup>18</sup>

As a typical example, we consider a dust particle of radius  $a \approx 10^{-5}$  cm and mass  $m \approx 10^{-7}$  g. It is well known that localized states of macroscopic objects spread very slowly under the free Schrödinger evolution. For instance, for a Gaussian wave packet with initial (mean square) width  $\Delta$ , the wave packet will spread so that the width doubles in a time  $t = 2m\Delta^2/h$ . This means that the double time is almost infinite for a macroscopic object. If the dust particle had no interactions with environment and its initial state is a Gaussian wave packet with width  $\Delta \approx 10^{-5}$  cm, the doubling time would be about the age of the Universe. However, if the dust particle interacts with environment, the situation turns out to be very different. Although the different components that couple to the environment will be individually incredibly localized, collectively they can have a spread that is many orders of magnitude larger. In other words, the state of the dust particle and the environment will be a superposition of zillions of very well localized terms, each with slightly different positions, and which are collectively spread over a macroscopic distance [22]. According to Joos & Zeh [23], the spread in an environment full of thermal radiation only is proportional to mass times the cube of time for large times, namely  $(\Delta x)^2 \approx \Lambda m \tau^3$ , where  $\Lambda$  is the localization rate depending on the environment, defined by the evolution equation of density matrix  $\rho_t(x, x') = \rho_0(x, x') e^{-\Lambda t(x-x')^2}$ . For example, if the above dust particle interacts with thermal radiation at  $T = 300$  K, the localization rate is  $\Lambda = 10^{12}$ , and the overall spread of its state is of the order of 10 m after a second [23]. If the dust particle interacts with air molecules, e.g. floating in the air, the spread of its state will be much faster.

Let's see whether the energy-conserved collapse in our model can prevent the above spreading. Suppose the dust particle is in a superposition of two identical localized states that are separated by  $10^{-5}$  cm in space. The particle floats in the air, and its average velocity is about zero. At standard temperature and pressure, one nitrogen molecule accretes in the dust particle, whose area is  $10^{-10}$  cm<sup>2</sup>, during a time interval of  $10^{-14}$  s in average [18]. Because the mass of the dust particle is much larger than the mass of a nitrogen molecule, the change of the velocity of the particle is negligible when compared with the change of the velocity of the nitrogen molecules during the process of accretion. Then the kinetic energy difference between an accreted molecule and a freely moving molecule is about  $\Delta E = \frac{3}{2} kT \approx 10^{-2}$  eV. When one nitrogen molecule accretes in one localized branch of the dust particle (the molecule is freely moving in the other localized branch), it will increase the energy uncertainty of the total entangled state by  $\Delta E \approx 10^{-2}$  eV. Then after a time interval of  $10^{-4}$  s, the number of accreted nitrogen molecules is about  $10^{10}$ , and the total energy uncertainty is about  $10^8$  eV. According to equation (2.13) of our collapse model, the corresponding collapse time is about  $10^{-4}$  s.

In the energy-conserved collapse model, the final states of collapse are energy eigenstates, and in particular, they are nonlocal momentum eigenstates for free quantum systems. Thus, it is somewhat counterintuitive that the energy-conserved collapse can make the states of macroscopic objects local. As shown above, this is due to the constant influences of environmental particles. When the spreading of the state of a macroscopic object becomes larger, its interaction with environmental particles will introduce larger energy difference between its different local

<sup>18</sup>The uncertainty of the total energy of the whole system is still very small even if the influences of environment are counted. Thus, no observable collapse happens for the above situation according to the energy-driven collapse models [7].

branches, and this will then collapse the spreading state again into a more localized state.<sup>19</sup> As a result, the states of macroscopic objects in an environment will never reach the final states of collapse, namely momentum eigenstates, though they do continuously undergo the energy-conserved collapse. To sum up, there are two opposite processes for a macroscopic object constantly interacting with environmental particles. One is the spreading process owing to the linear Schrödinger evolution, and the other is the localization process owing to the energy-conserved collapse evolution. The interactions with environmental particles not only make the spreading more rapidly, but also make the localization more frequently. In the end, these two processes will reach an approximate equilibrium. The state of a macroscopic object will be a wave packet narrow in both position and momentum, and this narrow wave packet will approximately follow Newtonian trajectories by Ehrenfest's theorem (if the external potential is uniform enough along the width of the packet).<sup>20</sup> In some sense, the emergence of the classical world around us is 'conspired' by environmental particles according to the energy-conserved collapse model.

#### (d) Definiteness of our conscious experiences

Ultimately, the energy-conserved collapse model should be able to account for our definite conscious experiences. According to recent neuroscience literature, the appearance of a (definite) conscious perception in human brains involves a large number of neurons changing their states from resting state (resting potential) to firing state (action potential). In each neuron, the main difference of these two states lies in the motion of  $10^6$   $Na^+$ s passing through the neuron membrane. Because the membrane potential is in the order of  $10^{-2}$  V, the energy difference between firing state and resting state is  $\Delta E \approx 10^4$  eV. According to equation (2.13) of the energy-conserved collapse model, the collapse time of a quantum superposition of these two states of a neuron is  $\tau_c \approx 10^5$  s. When considering the number of neurons that can form a definite conscious perception is usually in the order of  $10^7$ , the collapse time of the quantum superposition of two different conscious perceptions is  $\tau_c \approx 10^{-9}$  s. Because the normal conscious time of a human being is in the order of several hundred milliseconds, the collapse time is much shorter than the normal conscious time. Therefore, our conscious perceptions are always definite according to the energy-conserved collapse model.<sup>21</sup>

## 4. Conclusions

In this paper, we propose a discrete model of energy-conserved wave function collapse, and show that the model is consistent with existing experiments and our macroscopic experience. This provides a possible new solution to the problem of energy non-conservation for dynamical collapse theories.

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<sup>19</sup>It is interesting to note that the state of a macroscopic object can also be localized by the linear Schrödinger evolution via interactions with environment, e.g. by absorbing an environmental particle with certain energy uncertainty. For example, if a macroscopic object absorbs a photon (emitted from an atom) with momentum uncertainty of  $\Delta p \approx 10^{-6}$  eV  $c^{-1}$ , the centre-of-mass state of the object, even if being a momentum eigenstate initially, will have the same momentum uncertainty by the linear Schrödinger evolution, and thus it will become a localized wave packet with width about 0.1 m. Note that there is no vicious circle here. The energy spreading state of a microscopic particle can be generated by an external potential (e.g. an electromagnetic potential) via the linear Schrödinger evolution, and especially they do not necessarily depend on the localization of macroscopic objects such as measuring devices. Thus, we can use the existence of these states to explain the localization of macroscopic objects.

<sup>20</sup>When assuming the energy uncertainty of an object is in the same order of its thermal energy fluctuation, we can estimate the rough size of its wave packet. For instance, for a dust particle of mass  $m = 10^{-7}$  g, its root mean square energy fluctuation is about  $10^3$  eV at room temperature  $T = 300$  K [18], and thus the width of its wave packet is about  $10^{-10}$  m.

<sup>21</sup>Note that a serious analysis of human perceptions such as visual perception in terms of the collapse theories such as the GRW model was first given by Aicardi *et al.* [24] and Ghirardi [25].

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